

# AUTOMORPHISM GROUPS OF RIEMANN SURFACES OF GENUS $p + 1$ , WHERE $p$ IS PRIME

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**ABSTRACT.** We show that if  $\mathcal{S}$  is a compact Riemann surface of genus  $g = p + 1$ , where  $p$  is prime, with a group of automorphisms  $G$  such that  $|G| \geq \lambda(g - 1)$  for some real number  $\lambda > 6$ , then for all sufficiently large  $p$  (depending on  $\lambda$ ),  $\mathcal{S}$  and  $G$  lie in one of six infinite sequences of examples. In particular, if  $\lambda = 8$  then this holds for all  $p \geq 17$ .

## 1. Introduction

A compact Riemann surface  $\mathcal{S}$  of genus  $g \geq 2$  has at most  $84(g - 1)$  automorphisms, and among the most interesting surfaces are those with a group  $G$  of automorphisms which is relatively large compared with  $g$ . The general problem of determining all such surfaces  $\mathcal{S}$  and groups  $G$  is very difficult, but it tends to be easier when the Euler characteristic  $\chi = 2(1 - g)$  of  $\mathcal{S}$  has a simple numerical form. Here we will consider the simplest case, where  $g = p + 1$  for some prime  $p$ . In this situation, Accola [2] has determined the possibilities for  $G$  where  $|G| \geq 8(g + 1)$ , and we will extend his results to the case  $|G| \geq \lambda(g - 1)$  for each  $\lambda > 6$ . We will show that if  $p$  is sufficiently large (depending on  $\lambda$ ), then  $\mathcal{S}$  and  $G$  lie in one of six easily described infinite families. Our method is a combination of the techniques used by Accola and those developed by us in [3], where bounds were obtained for automorphism groups of compact arithmetic Riemann surfaces. Our main result is the following (where  $\mathcal{H}$  denotes the hyperbolic plane and  $\Gamma(l, m, n)$  denotes a triangle group):

**THEOREM 1.** *For each real number  $\lambda > 6$  there is a constant  $c_\lambda$  with the following property. Let  $\mathcal{S}$  be a compact Riemann surface of genus  $g = p + 1$  for some prime  $p \geq c_\lambda$ , and suppose that some subgroup  $G \leq \text{Aut}(\mathcal{S})$  has order  $|G| \geq \lambda(g - 1)$ . Then*

- (a)  $\mathcal{S} \cong \mathcal{H}/K$  and  $G \cong \Gamma/K$  for some Fuchsian group  $\Gamma$  and normal surface subgroup  $K$  of  $\Gamma$ , where one of the following holds:
  - (i)  $|G| = 12(g - 1)$  where  $p \equiv 1 \pmod{3}$ ,  $\Gamma = \Gamma(2, 6, 6)$ , and  $G$  is a split extension of  $C_p$  by  $C_6 \times C_2$ ;
  - (ii)  $|G| = 10(g - 1)$  where  $p \equiv 1 \pmod{5}$ ,  $\Gamma = \Gamma(2, 5, 10)$ , and  $G$  is a split extension of  $C_p$  by  $C_{10}$ ;
  - (iii)  $|G| = 8(g - 1)$  where  $p \equiv 1 \pmod{8}$ ,  $\Gamma = \Gamma(2, 8, 8)$ , and  $G$  is a split extension of  $C_p$  by  $C_8$ ;

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- (iv)  $|G| = 8(g+3)$  where  $p \equiv 2 \pmod{3}$ ,  $\Gamma = \Gamma(2, 4, n)$  with  $n = p+4$ , and  $G$  is an extension of  $C_{n/3}$  by  $S_4$ ;
- (v)  $|G| = 8(g+1)$  where  $\Gamma = \Gamma(2, 4, n)$  with  $n = 2p+4$ , and  $G$  is an extension of  $C_{n/2}$  by  $D_4$ ;
- (vi)  $|G| = 8g$  where  $\Gamma = \Gamma(2, 4, n)$  with  $n = 4p+4$ , and  $G$  is an extension of  $C_n$  by  $C_2$ .
- (b)  $G = \text{Aut}(\mathcal{S})$ , and  $\Gamma$  is the normalizer  $N(K)$  of  $K$  in  $\text{PSL}(2, \mathbf{R})$ .
- (c) In cases (i) and (iii), for each prime  $p$  satisfying the given congruence there are two non-isomorphic surfaces  $\mathcal{S}$ , forming a chiral pair; in case (ii) there are four surfaces for each  $p$ , forming two chiral pairs, and in cases (iv), (v) and (vi)  $\mathcal{S}$  is unique. In each case, the group  $G$  is determined uniquely (up to isomorphism) by  $p$ .

We will give more detailed descriptions of these surfaces  $\mathcal{S}$  and groups  $G$  in Section 3. For instance, the surfaces in cases (iv) and (v) are the well-known examples constructed by Accola [1] and Maclachlan [11], while the group  $G$  in (vi) is the semidihedral group  $SD_n$  of order  $2n$ .

As a specific example of Theorem 1, we will show in Section 6 that one can take  $c_8 = 17$ , so that if  $|G| \geq 8(g-1)$  then the conclusions of Theorem 1 are valid for all  $p \geq 17$ . This is an extension of Accola's result in [2, chapter 7], where he considered the case  $|G| \geq 8(g+1)$  (his 'big groups') and showed that if  $p \geq 89$  then only cases (i), (ii), (iv) and (v) occur.

These lower bounds on  $p$  are necessary to avoid sporadic examples for low genera which do not belong to the six infinite families in Theorem 1(a). For instance, the groups in these families are all solvable, whereas there are also non-solvable examples for small  $p$ : these include  $G = S_5$  of order  $40(g-1)$  for  $p = 3$ , and  $G = \text{PSL}_2(13)$  of order  $84(g-1)$  for  $p = 13$ , arising from  $\Gamma = \Gamma(2, 4, 5)$  and  $\Gamma(2, 3, 7)$  respectively.

By Dirichlet's Theorem, each of the congruences in Theorem 1(a) is satisfied by infinitely many primes  $p$ , so each of cases (i) to (vi) corresponds to an infinite set of genera  $g$ . Indeed, we will show in Section 3 that for every prime  $p$  satisfying the given congruences (and not just for all sufficiently large  $p$ ), there exist surfaces  $\mathcal{S}$  and groups  $G$  satisfying the conditions of cases (i) to (vi). From this, and from the case  $\lambda = 8$  of Theorem 1 considered in Section 6, we deduce the following result, which extends a result of Accola for  $p \geq 89$  [2, theorem 7.11]. For each  $g \geq 2$ , let  $N(g)$  denote the maximum number of automorphisms of a Riemann surface of genus  $g$ .

**THEOREM 2.** *Let  $g = p+1$  for some prime  $p \geq 17$ .*

- (a) *If  $p \equiv 1 \pmod{3}$  then  $N(g) = 12(g-1)$ .*
- (b) *If  $p \equiv 11 \pmod{15}$  then  $N(g) = 10(g-1)$ .*
- (c) *If  $p \equiv 2, 8$  or  $14 \pmod{15}$  then  $N(g) = 8(g+3)$ .*

Together with the known results on  $N(g)$  for small  $g$  (see [2]), namely  $N(14) = 1092$ ,  $N(12) = 120$ ,  $N(8) = 336$ ,  $N(6) = 150$ ,  $N(4) = 120$  and  $N(3) = 168$ , this Theorem gives the value of  $N(p+1)$  for every prime  $p$ .

## 2. Preliminaries

In this section we recall some basic facts about Riemann surfaces and their groups of automorphisms. For more information see [5], [8].

By the uniformization theorem [5, chapter IV], each compact Riemann surface  $\mathcal{S}$  of genus  $g \geq 2$  is isomorphic to  $\mathcal{H}/K$ , where  $\mathcal{H}$  is the hyperbolic plane and  $K$  is a torsion-free discrete subgroup of the group  $\text{Isom}^+(\mathcal{H}) = \text{PSL}(2, \mathbf{R})$  of orientation-preserving isometries of  $\mathcal{H}$ . This group  $K$ , called the *surface group* corresponding to  $\mathcal{S}$ , is unique up to conjugacy in  $\text{PSL}(2, \mathbf{R})$ .

Discrete subgroups of  $\text{PSL}(2, \mathbf{R})$  are called *Fuchsian groups*. Each cocompact Fuchsian group  $\Gamma$  has a presentation

$$\Gamma = \langle \alpha_1, \beta_1, \dots, \alpha_g, \beta_g, \gamma_1, \dots, \gamma_k \mid \prod_{i=1}^g [\alpha_i, \beta_i] \prod_{j=1}^k \gamma_j = 1, \gamma_j^{m_j} = 1 \rangle,$$

with genus  $g \geq 0$  and elliptic periods  $m_i \geq 2$ . We write  $\Gamma = \Gamma(g; m_1, \dots, m_k)$ , and we call  $(g; m_1, \dots, m_k)$  the *signature*  $\sigma$  of  $\Gamma$ , usually abbreviated to  $(m_1, \dots, m_k)$  if  $g = 0$ . The order of the elliptic periods is irrelevant, so we may take  $m_1 \leq \dots \leq m_k$ . A *surface group* has signature  $(g; -)$ , with  $k = 0$ , and a group with signature  $(m_1, m_2, m_3)$  is called a *triangle group*.

We define  $\mu(\Gamma)$  to be the hyperbolic measure of  $\mathcal{H}/\Gamma$ , or equivalently of a fundamental region for  $\Gamma$ . For cocompact groups this is finite, and can be expressed in terms of the signature:

$$\mu(\Gamma) = \mu(g; m_1, \dots, m_k) = 2\pi \left( 2g - 2 + \sum_{j=1}^k \left( 1 - \frac{1}{m_j} \right) \right).$$

The Riemann–Hurwitz formula states that if  $\Delta$  is a subgroup of finite index in  $\Gamma$  then  $\mu(\Delta) = |\Gamma : \Delta| \cdot \mu(\Gamma)$ .

The automorphisms of a Riemann surface  $\mathcal{S}$  lift to the isometries of  $\mathcal{H}$  normalizing the surface group  $K$ , so the automorphism group  $\text{Aut}(\mathcal{S})$  is isomorphic to  $N(K)/K$  where  $N(K)$  is the normalizer of  $K$  in  $\text{PSL}(2, \mathbf{R})$ .

A *surface-kernel epimorphism (SKE)* is an epimorphism  $\theta : \Gamma \rightarrow G$  such that  $K = \ker(\theta)$  is a surface group. When  $\Gamma$  is cocompact and  $G$  is finite, this is equivalent to the condition that  $\gamma_j \theta$  has order  $m_j$  for  $j = 1, \dots, k$ . In this situation, the action of  $\Gamma$  on  $\mathcal{H}$  induces a faithful action of  $\Gamma/K$  on  $\mathcal{H}/K$ , so the Riemann surface  $\mathcal{S}$  uniformized by  $K$  has a group of automorphisms isomorphic to  $G$ .

## 3. Existence results

In this section we prove the existence of Riemann surfaces  $\mathcal{S}$  and groups  $G$  described in cases (i) to (vi) of Theorem 1(a) for all primes  $p$  satisfying the given congruences, not just for all sufficiently large  $p$ . We will first describe the general method, and then outline the details for the individual cases.

In each case, we will define a SKE  $\theta : \Gamma \rightarrow G$ , so that the surface group  $K = \ker(\theta)$  uniformises a compact Riemann surface  $\mathcal{S} = \mathcal{H}/K$ . The Riemann–Hurwitz formula, applied to the inclusion  $K \leq \Gamma$  of index  $|G|$ , gives the genus  $g$  of  $\mathcal{S}$ , and the fact that  $K$  is normal in  $\Gamma$  implies that  $G \cong \Gamma/K \leq N(K)/K \cong \text{Aut}(\mathcal{S})$ .

For each  $K$ ,  $N(K)$  is a Fuchsian group containing  $\Gamma$ . Now Singerman [14] has shown that the triangle groups  $\Gamma$  in cases (ii), (iv), (v) and (vi) are maximal Fuchsian groups, so  $N(K) = \Gamma$  and hence  $G = \text{Aut}(\mathcal{S})$ . In cases (i) and (iii),  $\Gamma = \Gamma(2, 6, 6)$  and  $\Gamma(2, 8, 8)$  have index 2 in  $N(\Gamma) = \Gamma(2, 4, 6)$  and  $\Gamma(2, 4, 8)$ , which are maximal Fuchsian groups; we will show that  $N(\Gamma)$  does not normalise  $K$  in these cases, so again  $N(K) = \Gamma$  and  $G = \text{Aut}(\mathcal{S})$ .

Two SKEs  $\Gamma \rightarrow G$  have the same kernel if and only if they differ by an automorphism of  $G$ , so the number of normal surface subgroups  $K$  of  $\Gamma$  with quotient group  $G$  is equal to the number of orbits of  $\text{Aut}(G)$  on SKEs  $\Gamma \rightarrow G$ . Only the identity automorphism can fix a SKE, so this action is semi-regular, and the number of orbits is the number of SKEs divided by  $|\text{Aut}(G)|$ . We can count SKEs  $\Gamma \rightarrow G$  by counting appropriate generating sets for  $G$ , so we can calculate the number of subgroups  $K$ .

Two surface groups uniformise isomorphic Riemann surfaces if and only if they are conjugate in  $\text{PSL}(2, \mathbf{R})$ . Since triangle groups with a given signature are all conjugate, we can count isomorphism classes of Riemann surfaces  $\mathcal{S}$  in each case by considering a fixed triangle group  $\Gamma$ , and counting conjugacy classes in  $\text{PSL}(2, \mathbf{R})$  of its surface subgroups  $K$ . If two such subgroups  $K_1$  and  $K_2$  satisfy  $K_1^\gamma = K_2$  for some  $\gamma \in \text{PSL}(2, \mathbf{R})$  then  $N(K_1)^\gamma = N(K_2)$ ; we have seen that  $N(K_1) = N(K_2) = \Gamma$ , so  $\gamma \in N(\Gamma)$ . Thus  $K_1$  and  $K_2$  are conjugate in  $\text{PSL}(2, \mathbf{R})$  if and only if they are conjugate in  $N(\Gamma)$ . In this action of  $N(\Gamma)$  by conjugation on these surface groups, the stabiliser of each  $K$  is  $N(K) = \Gamma$ , so  $K$  lies in an orbit of length  $|N(\Gamma) : \Gamma|$ . In cases (i) and (iii),  $|N(\Gamma) : \Gamma| = 2$ , so the subgroups  $K$  are conjugate in pairs, with distinct pairs uniformising non-isomorphic surfaces; in cases (ii), (iv), (v) and (vi), however,  $N(\Gamma) = \Gamma$ , so the subgroups are mutually non-conjugate and their surfaces are non-isomorphic.

*Example* (i). Let  $\Gamma = \Gamma(2, 6, 6)$ , let  $p$  be any prime such that  $p \equiv 1 \pmod{3}$ , and let

$$G = \langle x, y \mid x^p = y^6 = z^2 = 1, x^y = x^u, [x, z] = [y, z] = 1 \rangle,$$

where  $u$  is a primitive 6-th root of unity mod  $(p)$ . (Since  $p \equiv 1 \pmod{6}$  there are  $\phi(6) = 2$  mutually inverse choices for  $u$ , but the resulting groups  $G$  are isomorphic under the mapping  $x \mapsto x, y \mapsto y^{-1}, z \mapsto z$ .) Then  $G$  is a split extension of a normal subgroup  $P = \langle x \rangle \cong C_p$  by  $Q = \langle y, z \rangle \cong C_6 \times C_2$ . We also have

$$G = G_1 \times Z = G_2 \times Z$$

where  $Z = \langle z \rangle \cong C_2$  is the centre of  $G$ , while  $G_1 = \langle x, y \rangle$  and  $G_2 = \langle x, yz \rangle$  are isomorphic subgroups of order  $6p$  and index 2. It follows that  $|\text{Aut}(G)| = 2p(p-1)$ : automorphisms must fix  $Z$ , and can preserve or transpose  $G_1$  and  $G_2$ , so  $\text{Aut}(G)$  has a subgroup  $\text{Aut}(G_1)$  of index 2 under which there are  $p-1$  choices  $x^i$  ( $i \neq 0$ ) for the image of  $x$ , and  $p$  choices  $x^i y$  for the image of  $y$ .

Surface-kernel epimorphisms  $\theta : \Gamma \rightarrow G$  correspond to generating triples  $a, b, c = \gamma_i \theta$  ( $i = 1, 2, 3$ ) of orders 2, 6 and 6 satisfying  $abc = 1$ . Each element of  $G$  has the unique form  $x^i y^j z^k$  where  $i \in \mathbf{Z}_p, j \in \mathbf{Z}_5$  and  $k \in \mathbf{Z}_2$ . The elements of order 2 are those of the form  $x^i y^3 z^k$ , together with  $z$  (which cannot be a member of such a triple); the elements of order 6 are those of the form  $x^i y^{\pm 1} z^k$  or  $x^i y^{\pm 2} z$ . A little calculation (which we shall omit) then shows that  $\text{Aut}(G)$  has four orbits on the

required triples  $a, b, c$ :

$$\begin{aligned} a &= x^i y^3 z^k, & b &= x^{i'} y z^{1-k}, & c &= x^{i''} y^2 z, \\ a &= x^i y^3 z^k, & b &= x^{i'} y^{-1} z^{1-k}, & c &= x^{i''} y^{-2} z, \\ a &= x^i y^3 z^k, & b &= x^{i'} y^2 z, & c &= x^{i''} y z^{1-k}, \\ a &= x^i y^3 z^k, & b &= x^{i'} y^{-2} z, & c &= x^{i''} y^{-1} z^{1-k}. \end{aligned}$$

In each case there are  $p$  choices for  $i$  and two choices for  $k$ , then  $p - 1$  choices for  $i'$  (excluding one value which gives  $a = b^3$ ), and then  $i''$  is uniquely determined by the equation  $abc = 1$ . It follows that there are four normal surface subgroups  $K$  in  $\Gamma$  with  $\Gamma/K \cong G$ , so that the quotient surfaces  $\mathcal{S} = \mathcal{H}/K$  have  $G \leq \text{Aut}(\mathcal{S})$ . In each case,  $\mathcal{S}$  has genus  $g = p + 1$  since  $\mu(\Gamma) = \pi/3$  and  $|G| = 12p$ . We can label these subgroups and surfaces  $K_j$  and  $\mathcal{S}_j$  ( $j = \pm 1, \pm 2 \in \mathbf{Z}_6$ ) respectively, as  $\gamma_2$  has eigenvalue  $u^j$  on  $P$  (regarded as a 1-dimensional vector space over  $\mathbf{Z}_p$ ). Since  $\gamma_2$  is conjugate in  $N(\Gamma) = \Gamma(2, 4, 6)$  to  $\gamma_3$ , which has eigenvalue  $u^{3-j}$  on  $P$ , it follows that each  $K_j$  is conjugate to  $K_{3-j}$ , so we obtain two non-isomorphic surfaces  $\mathcal{S}_1 \cong \mathcal{S}_2$  and  $\mathcal{S}_{-1} \cong \mathcal{S}_{-2}$ . In the extended (orientation-reversing) triangle group, which contains  $\Gamma$  with index 2,  $\gamma_2$  and  $\gamma_3$  are conjugate to their inverses and so each  $K_j$  is conjugate to  $K_{-j}$ ; thus the two surfaces  $\mathcal{S}_1$  and  $\mathcal{S}_{-1}$  form a chiral pair, corresponding to complex conjugate algebraic curves.

*Example (ii).* Here  $\Gamma = \Gamma(2, 5, 10)$  and

$$G = \langle x, y \mid x^p = y^{10} = 1, x^y = x^u \rangle$$

where  $u$  is a primitive 10-th root of unity mod  $(p)$  for some prime  $p \equiv 1 \pmod{5}$ . Thus  $G$  is a split extension of a normal subgroup  $P = \langle x \rangle \cong C_p$  by  $Q = \langle y \rangle \cong C_{10}$ . Each element of  $G$  has the unique form  $x^i y^j$  where  $i \in \mathbf{Z}_p$  and  $j \in \mathbf{Z}_{10}$ ; it has order 1 or  $p$  if  $j = 0$ , and otherwise its order is  $10/\text{hcf}(10, j)$ . It follows that there are  $4p(p-1)$  SKEs  $\theta : \Gamma \rightarrow G$ : there are  $p$  choices of an element  $a = \gamma_1 \theta = x^i y^5$  of order 2, and then  $4(p-1)$  choices of an element  $b = \gamma_2 \theta = x^{i'} y^{2j}$  ( $j = \pm 1, \pm 2 \in \mathbf{Z}_5$ ) of order 5, in each case excluding one value of  $i'$  for which  $\langle a, b \rangle \cong C_{10}$ . Now  $G$  has  $p(p-1)$  automorphisms, by the argument applied to  $G_1$  in Example (i), so there are  $4p(p-1)/p(p-1) = 4$  normal surface subgroups  $K$  of  $\Gamma$  with  $\Gamma/K \cong G$ . The four surfaces  $\mathcal{S} = \mathcal{H}/K$  have genus  $g = p + 1$  (since  $\mu(\Gamma) = 2\pi/5$  and  $|G| = 10p$ ) and  $G \leq \text{Aut}(\mathcal{S})$ ; they are mutually non-isomorphic since  $N(\Gamma) = \Gamma$ . We can write  $K = K_j$  and  $\mathcal{S} = \mathcal{S}_j$  ( $j = \pm 1, \pm 2 \in \mathbf{Z}_5$ ), where  $u^{2j}$  is the eigenvalue of  $\gamma_2$  on  $P$ . Since  $\gamma_2$  is conjugate to its inverse in the extended triangle group, the surfaces  $\mathcal{S}_1$  and  $\mathcal{S}_{-1}$  form a chiral pair, as do  $\mathcal{S}_2$  and  $\mathcal{S}_{-2}$ . In fact, more general results of Streit and Wolfart show that these four surfaces, defined over the field  $\mathbf{Q}(e^{2\pi i/5})$ , are conjugate under the Galois group of that field (they are the surfaces  $X_{n,t,t}$  of [15, theorem 3], with  $q = 5$ ).

*Example (iii).* Here we imitate Example (ii), with  $p \equiv 1 \pmod{8}$ ,  $\Gamma = \Gamma(2, 8, 8)$  and

$$G = \langle x, y \mid x^p = y^8 = 1, x^y = x^u \rangle$$

where  $u$  is a primitive 8-th root of unity mod  $(p)$ . The same arguments as before show that there are four surface groups  $K = K_j$  in  $\Gamma$  with  $\Gamma/K \cong G$ , distinguished by the eigenvalue  $u^j$  ( $j = \pm 1, \pm 3$ ) of  $\gamma_2$  on  $P = \langle x \rangle$ . Since  $\mu(\Gamma) = \pi/2$  and  $|G| = 8p$  the four corresponding surfaces  $\mathcal{S} = \mathcal{S}_j$  all have genus  $p + 1$ , and since

$|N(\Gamma) : \Gamma| = 2$  they are isomorphic in pairs. Now  $\gamma_2$  is conjugate in  $N(\Gamma) = \Gamma(2, 4, 8)$  to  $\gamma_3 = (\gamma_1\gamma_2)^{-1}$ , which has eigenvalue  $u^{4-j}$ , so  $\mathcal{S}_1 \cong \mathcal{S}_3$  and  $\mathcal{S}_{-1} \cong \mathcal{S}_{-3}$ ; as before, these two surfaces  $\mathcal{S}_1$  and  $\mathcal{S}_{-1}$  form a chiral pair.

Each of the groups  $G$  in Examples (i), (ii) and (iii) has a normal Sylow  $p$ -subgroup  $P \cong C_p$ , with quotient group  $Q = G/P$  isomorphic to  $C_6 \times C_2$ ,  $C_{10}$  or  $C_8$  respectively. The inverse image  $\Delta$  of  $P$  in  $\Gamma$  is a surface group of genus 2, so  $\mathcal{S}$  is a  $p$ -sheeted unbranched covering of a Riemann surface  $\mathcal{T} = \mathcal{H}/\Delta$  of genus 2. In each case there is a unique isomorphism class of Riemann surfaces  $\mathcal{T}$  of genus 2 with  $Q \leq \text{Aut}(\mathcal{T})$ : in Example (i) it corresponds to the algebraic curve  $w^2 = z^6 - 1$ , with generators of the direct factors  $C_6$  and  $C_2$  of  $Q$  acting on  $\mathcal{T}$  by  $(z, w) \mapsto (e^{\pi i/3}z, w)$  and  $(z, w) \mapsto (z, -w)$  (the hyperelliptic involution); in Example (ii) we have  $w^2 = z^5 - 1$  with a generator of  $Q \cong C_{10}$  acting by  $(z, w) \mapsto (e^{2\pi i/5}z, -w)$ ; in Example (iii) we have  $w^2 = z^5 - z$  with a generator of  $Q \cong C_8$  acting by  $(z, w) \mapsto (iz, e^{\pi i/4}w)$ .

*Example (iv).* As shown by Accola [1] and Maclachlan [11], if  $n = 3m$  for some integer  $m \geq 1$  then there is a surface-kernel epimorphism  $\theta : \gamma_i \mapsto a, b, c$  from  $\Gamma = \Gamma(2, 4, n)$  to a group

$$G = \langle a, b, c \mid a^2 = b^4 = c^n = abc = 1, (c^3)^a = c^{-3} \rangle$$

which is an extension of a normal subgroup  $\langle c^3 \rangle \cong C_m$  by  $\Gamma(2, 4, 3) \cong S_4$  (also see [4] for a construction of this group). Thus  $|G| = 24m = 8n$ , and since  $\mu(\Gamma) = \pi(n-4)/2n$  it follows that the surface subgroup  $K = \ker(\theta)$  has genus  $g = n-3 = 3(m-1)$ , so  $G$  is a group of  $8(g+3)$  automorphisms of the surface  $\mathcal{S} = \mathcal{H}/K$ . This example exists for every genus  $g$  divisible by 3; this includes  $g = p+1$  for any prime  $p \equiv 2 \pmod{3}$ , with  $n = g+3 = p+4$ .

This situation, together with that described in Example (v), is part of a wider investigation by Jones and Surowski [9] of cyclic coverings of the Platonic maps, or equivalently, cyclic extensions of finite rotation groups arising as quotients of triangle groups. It follows from their results that if  $n$  is odd (as it is here when  $g = p+1$  for odd primes  $p \equiv 2 \pmod{3}$ ), there is a unique normal surface subgroup  $K$  in  $\Gamma = \Gamma(2, 4, n)$  with  $G = \Gamma/K$  an extension of  $C_m$  by  $S_4$  (for even  $n = 3m$  there may be several such  $K$  and  $G$ , obtained by replacing the last relation in  $G$  with  $(c^3)^a = c^{3u}$  where  $u^2 \equiv 1$  and  $4(1+u) \equiv 0 \pmod{m}$ ). The associated surface  $\mathcal{S}$  is an  $m$ -sheeted regular cyclic covering of the sphere, branched over the eight vertices of a cube, with monodromy permutations  $\pm 1$  (in the additive group  $\mathbf{Z}_m$ ) at alternate vertices.

*Example (v).* The construction here, also due to Accola and Maclachlan, is similar to that in Example (iv). We have  $\Gamma = \Gamma(2, 4, n)$  where  $n = 2m$ , and

$$G = \langle a, b, c \mid a^2 = b^4 = c^n = abc = 1, (c^2)^a = c^{-2} \rangle,$$

an extension of  $\langle c^2 \rangle \cong C_m$  by  $\Gamma(2, 4, 2) \cong D_4$ , of order  $8m = 4n$ . The surface-kernel  $K$  has genus  $g = m-1$ , so  $|G| = 8(g+1)$ . Again, it is shown in [9] that  $K$  is unique. The surface  $\mathcal{S}$  is an  $m$ -sheeted regular cyclic covering of the sphere, branched at  $\pm 1$  (with monodromy permutation  $1 \in \mathbf{Z}_m$ ) and at  $\pm i$  (with monodromy permutation  $-1$ ). This applies to every genus  $g \geq 0$ , including those of the form  $g = p+1$ .

*Example (vi).* Here  $\Gamma = (2, 4, n)$  where  $n = 2m$  for some even  $m$ , and

$$G = \langle a, b, c \mid a^2 = b^4 = c^n = abc = 1, c^a = c^{m-1} \rangle.$$

Eliminating  $b$  we see that

$$G = \langle a, c \mid a^2 = c^n = 1, c^a = c^{m-1} \rangle$$

is the semidihedral group  $SD_n$  of order  $2n$ , an extension of  $\langle c \rangle \cong C_n$  by  $\langle a \rangle \cong C_2$  (see Section 5 for the details). Since  $\mu(\Gamma) = \pi(n-4)/2n$  and  $|G| = 2n$ , the corresponding surface  $\mathcal{S}$  has genus  $g = n/4$ , so  $|G| = 8g$ . This example is valid for all  $g \geq 2$ .

We can now make a first step towards proving Theorem 2:

**COROLLARY 3.** *Let  $g = p + 1$  for some prime  $p$ .*

- (a) *If  $p \equiv 1 \pmod{3}$  then  $N(g) \geq 12(g-1)$ .*
  - (b) *If  $p \equiv 11 \pmod{15}$  then  $N(g) \geq 10(g-1)$ .*
  - (c) *If  $p \equiv 2, 8$  or  $14 \pmod{15}$  then  $N(g) \geq 8(g+3)$ .*
- In particular,  $N(g) \geq 8(g+3)$ .*

*Proof.* Examples (i), (ii) and (iv) imply (a), (b) and (c), which cover all the primes except  $p = 3$  and  $5$ . For the last assertion, in (a) we have  $N(g) \geq 12(g-1) \geq 8(g+3)$  for all  $g \geq 9$ , and in the remaining case  $g = 8$ , a surface-kernel epimorphism  $\Gamma(2, 3, 8) \rightarrow \mathrm{PGL}_2(7)$  gives  $N(g) \geq 336 \geq 8(g+3)$ . In (b) we have  $N(g) \geq 10(g-1) \geq 8(g+3)$  for all  $g \geq 17$ , and in the remaining case  $g = 12$  we can use Example (iv) to see that  $N(g) \geq 8(g+3)$ . Example (iv) also deals with  $g = 6$ , and for  $g = 4$  we can use Bring's curve [12], corresponding to a surface-kernel epimorphism from  $\Gamma(2, 4, 5)$  to  $S_5$ , to see that  $N(g) \geq 120 \geq 8(g+3)$ .

#### 4. Proof of Theorem 1(a)

Suppose that  $G$  and  $\mathcal{S}$  are as in Theorem 1, with  $|G| \geq \lambda(g-1)$  for some  $\lambda > 6$ . Since  $g \geq 2$  we have  $G \cong \Gamma/K$  for some normal surface subgroup  $K$  of a finitely generated Fuchsian group  $\Gamma$ , with

$$4\pi(g-1) = \mu(K) = |G|\mu(\Gamma) \geq \lambda(g-1)\mu(\Gamma), \quad (4.1)$$

so  $\mu(\Gamma) \leq 4\pi/\lambda < 2\pi/3$ . If  $\Gamma$  has signature  $\sigma = (g; m_1, \dots, m_k)$  then since

$$\mu(\Gamma) = 2\pi \left( 2g - 2 + \sum_{j=1}^k \left( 1 - \frac{1}{m_j} \right) \right) > 0 \quad (4.2)$$

it follows by case-by-case analysis (see [2, §7.6] for the case  $\mu(\Gamma) < \pi/2$ ) that  $g = 0$  and that apart from a finite set of signatures, such as  $(2, 6, n)$  for  $6 \leq n \leq \lfloor 3\lambda/(\lambda-6) \rfloor$ , the possible signatures  $\sigma$  all lie in three infinite sequences, namely  $(2, 3, n)$  for  $n \geq 7$ , and  $(2, 4, n)$  and  $(2, 5, n)$  for  $n \geq 5$ . (If we had taken  $\lambda = 6$ , we would also have to include  $\sigma = (2, 6, n)$  for all  $n \geq 6$  and  $(3, 3, n)$  for all  $n \geq 4$ ; other infinite sequences of signatures appear for smaller values of  $\lambda$ .)

Let  $\Sigma_\lambda$  be the finite set consisting of all these signatures  $\sigma$  except  $(2, 3, n)$  for  $n \geq 78$ ,  $(2, 4, n)$  for  $n \geq 37$ , and  $(2, 5, n)$  for  $n \geq 71$ . For  $\sigma \in \Sigma_\lambda$  we will follow the method used in [3] for arithmetic Riemann surfaces (though the numerical details are somewhat different), and then we will use separate arguments for the remaining three infinite sequences.

*Case A:*  $\sigma \in \Sigma_\lambda$ . By (4.2), the number  $q = \mu(\Gamma)/4\pi$  is rational and depends only on the signature  $\sigma$  of  $\Gamma$ , so let us write  $q = r/s$  where  $r$  and  $s$  are coprime positive

integers. Let  $p_\lambda$  be the largest prime dividing any  $r$  for  $\sigma \in \Sigma_\lambda$ . Now (4.1) gives  $|G| = (g-1)/q = ps/r$ ; since this is an integer we have  $r = 1$  and  $|G| = ps$  for all  $p > p_\lambda$ .

Let  $\Sigma'_\lambda = \{\sigma \in \Sigma_\lambda \mid r = 1\}$  and let  $s_\lambda$  be the maximum value of  $s$  for  $\sigma \in \Sigma'_\lambda$ . For  $p > s_\lambda + 1$  we therefore have  $|G| = ps$  with  $s$  coprime to  $p$  and less than  $p + 1$ , so Sylow's Theorems imply that  $G$  has a normal Sylow  $p$ -subgroup  $P \cong C_p$ . The inverse image of  $P$  in  $\Gamma$  is a normal subgroup  $\Delta$  of  $\Gamma$  with quotient  $Q = \Gamma/\Delta \cong G/P$  of order  $s$ . Since  $p$  and  $s$  are coprime, the Schur–Zassenhaus Theorem implies that  $G$  is a split extension of  $P$  by  $Q$ . Now let  $p'_\lambda$  be the largest prime dividing any of the elliptic periods  $m_i$  of the signatures  $\sigma \in \Sigma'_\lambda$ . Then for  $p > p'_\lambda$ , the inclusion  $K \leq \Delta$  induces a smooth  $p$ -sheeted covering  $\mathcal{S} \rightarrow \mathcal{T} = \mathcal{H}/\Delta$  of surfaces, so  $\Delta$  is a surface group of genus  $1 + (g-1)/p = 2$ , and  $Q$  is a group of automorphisms of a Riemann surface  $\mathcal{T}$  of genus 2. Note that the proof is valid so far provided  $p > \max\{p_\lambda, p'_\lambda, s_\lambda + 1\}$ .

Now  $\Delta/K \cong P \cong C_p$ , so  $K$  contains the subgroup  $\Delta'\Delta^p$  generated by the commutators and  $p$ -th powers of elements of  $\Delta$ , and hence  $P$  is isomorphic (as a  $\mathbf{Z}_p Q$ -module) to a 1-dimensional quotient of the 4-dimensional  $\mathbf{Z}_p Q$ -module  $\Delta/\Delta'\Delta^p \cong H_1(\mathcal{T}, \mathbf{Z}_p) \cong H_1(\mathcal{T}, \mathbf{Z}) \otimes \mathbf{Z}_p$ . Since  $p$  does not divide  $s = |Q|$ , Maschke's Theorem implies that  $H_1(\mathcal{T}, \mathbf{Z}_p)$  is a direct sum of irreducible submodules. Now  $H_1(\mathcal{T}, \mathbf{C}) = H_1(\mathcal{T}, \mathbf{Z}) \otimes \mathbf{C}$  is a direct sum of two  $Q$ -invariant subspaces, corresponding under duality to holomorphic and antiholomorphic differentials in  $H^1(\mathcal{T}, \mathbf{C})$ , and these afford complex conjugate representations of  $Q$  (see [13], for instance). It follows that  $H_1(\mathcal{T}, \mathbf{Z}_p)$  is irreducible, or a direct sum of two irreducible 2-dimensional submodules, or a direct sum of four irreducible 1-dimensional submodules. Since  $H_1(\mathcal{T}, \mathbf{Z}_p)$  has a 1-dimensional quotient, only the last of these three possibilities can arise. We have  $p > 2$ , so by a theorem of Serre [5, V.3.4]  $Q$  is faithfully represented on  $H_1(\mathcal{T}, \mathbf{Z}_p)$ ; thus  $Q \leq \mathrm{GL}_1(p)^4 \cong (C_{p-1})^4$ , so  $Q$  is an abelian group of exponent  $e$  dividing  $p-1$ . Since  $\Delta$  is a surface group, the natural epimorphism  $\Gamma \rightarrow \Gamma/\Delta \cong Q$  is a surface-kernel epimorphism.

We have  $|Q| = s \geq \lambda > 6$ , and since no Riemann surface of genus 2 has an automorphism of order 7 [5, V.1.11] it follows that  $s \geq 8$ ; thus  $|G| = ps \geq 8(g-1)$  and hence  $\sigma \in \Sigma_8$ . The elements of  $\Sigma_8$  are listed in the Appendix, and by inspection, the only cases in which there is a surface-kernel epimorphism from  $\Gamma$  to an abelian group  $Q$  are the following:

- (i)  $\Gamma = \Gamma(2, 6, 6)$  with  $Q \cong C_6 \times C_2$ ,
- (ii)  $\Gamma = \Gamma(2, 5, 10)$  with  $Q \cong C_{10}$ ,
- (iii)  $\Gamma = \Gamma(2, 8, 8)$  with  $Q \cong C_8$  or  $C_8 \times C_2$ .

In case (i) we have  $s = 12$ ,  $e = 6$ ,  $|G| = 12p = 12(g-1)$  and  $p \equiv 1 \pmod{3}$ , giving conclusion (i) of Theorem 1(a); in case (ii) we have  $s = e = 10$ ,  $|G| = 10p = 10(g-1)$  and  $p \equiv 1 \pmod{5}$ , giving conclusion (ii); in case (iii) we have  $s = e = 8$  (so  $Q \cong C_8$  since  $|Q| = s$ ),  $|G| = 8p = 8(g-1)$  and  $p \equiv 1 \pmod{8}$ , giving conclusion (iii). We will show in Section 5 that in these three cases,  $G$  and  $\mathcal{S}$  are as described in Examples (i), (ii) and (iii) of Section 3.

*Case B:*  $\sigma = (2, 3, n)$  for  $n \geq 79$ . Here it would be sufficient to argue as in [4, lemma 3.1] to show that  $p$  is bounded above, as we will do in Case D for  $\sigma = (2, 5, n)$ . However, the bound given by that argument is rather large, so for future use, when



we consider the case  $\lambda = 8$  in Section 6, we will provide a more detailed argument which eliminates this case completely, and which also gives useful information when  $n < 79$  (Case A).

If  $\Gamma = \Gamma(2, 3, n)$ , then  $q = \mu(\Gamma)/4\pi = (n-6)/12n$ , and  $|G| = (g-1)/q = 12np/(n-6)$ . If  $p$  does not divide  $n-6$  then  $n-6$  must divide  $12n$ , so  $n-6$  divides  $12n - 12(n-6) = 72$  and hence  $n \leq 78$ , against our hypothesis. Thus  $p$  divides  $n-6$ , say  $n = kp + 6$ , so  $|G| = 12np/kp = 12n/k$ . It is sufficient to eliminate the case  $n = kp + 6$  for all  $n \geq 79$ , but in fact it is just as easy (and more useful later) to eliminate it on the weaker assumption that  $n \geq 11$ .

Since there is a surface-kernel epimorphism from  $\Gamma(2, 3, n)$  to  $G$ , the elliptic generator  $\gamma_3$  of  $\Gamma$  has an image  $c$  of order  $n$  in  $G$ , so  $n$  divides  $|G|$  and hence  $k$  divides 12. Now  $G$  has a cyclic subgroup  $C = \langle c \rangle \cong C_n$  of index  $12/k \leq 12$ . The kernel of the transitive action of  $G$  on the  $12/k$  cosets of  $C$  is the core  $Z$  of  $C$ , a cyclic normal subgroup of  $G$ , and  $c$  induces a permutation  $\pi \in S_{12/k}$  of order  $l = |C : Z|$  dividing  $n$ , with at least one fixed point (namely  $C$ ). Since  $c$  centralises  $Z$ , and the periods 2 and 3 are coprime,  $Z$  is in the centre of  $G$ . By considering the possible cycle-structures of  $\pi$ , we show that  $n$  is small, thus giving a contradiction.

First let  $k = 1$ , so  $n = p + 6$ ; thus  $n$  is coprime to 6 since  $p \geq 5$ , so  $G$  is perfect. The cycle-lengths of  $\pi$  (in  $S_{12}$ ) are coprime to 6, so they must be 1, 5, 7 or 11. If there is an 11-cycle in  $\pi$ , then  $l = 11$  and  $G/Z$  is a doubly transitive group of degree 12 and order  $12 \cdot 11$ , so it is a doubly transitive Frobenius group; however these all have prime-power degree (since the Frobenius kernel must be elementary abelian [7, XII.9.1]), so there is no 11-cycle. If there is a 7-cycle in  $\pi$ , then  $c$  must fix the remaining 5 points; however, a little experimentation shows that elements of order 2 and 3 in  $S_{12}$  cannot generate a transitive group and have a 7-cycle as their product (i.e. there is no transitive Hurwitz group of degree 12), so  $\pi$  contains no 7-cycle. If there is a 5-cycle, then all the cycles-lengths are 1 or 5, so  $l = 5$  and  $G/Z \cong \Gamma(2, 3, 5) \cong A_5 \cong \text{PSL}_2(5)$ ; since  $G$  is perfect,  $|Z|$  divides the order of the Schur multiplier  $|M(G/Z)| = |M(\text{PSL}_2(5))| = 2$  [6, V.25.7], so  $n$  divides  $2l = 10$ , against our assumption that  $n \geq 11$ . Hence there is no 5-cycle, so  $\pi = 1 \in S_{12}$ . This means that  $C$  is normal in  $G$ , but then  $G/C$  has order 1 (since  $\gamma_1$  and  $\gamma_2$  have coprime orders) whereas  $|G : C| = 12$ .

Now let  $k = 2$ , so  $|G : C| = 6$ ,  $n = 2p + 6$  is coprime to 3, and hence  $\pi \in S_6$  has cycle-lengths 1, 2, 4 or 5. If there is a 5-cycle in  $\pi$  then  $l = 5$  and  $G/Z$  is a doubly transitive Frobenius group of degree 6, which is impossible. If there is a 4-cycle then  $l = 4$  and  $G/Z \cong \Gamma(2, 3, 4) \cong S_4$ ; now  $|G : G'|$  divides 2 since  $n$  is coprime to 3, and  $|S_4 : A_4| = 2$ , so  $Z \leq G'$ ; hence  $|Z|$  divides  $|M(S_4)| = 2$  [6, V.25.12] and so  $n$  divides  $2l = 8$ ; however,  $n \geq 11$ , so there is no 4-cycle. If there are 2-cycles then  $l = 2$  and  $G/Z \cong \Gamma(2, 3, 2) \cong S_3$ , and we obtain a contradiction again since  $Z \leq G'$  and  $|M(S_3)| = 1$  [6, V.25.12]. Thus  $\pi = 1$ , so  $C$  is normal in  $G$  and we obtain a contradiction as in the case  $k = 1$ .

If  $k = 3$  then  $|G : C| = 4$ ,  $n = 3p + 6$  is odd, and hence  $\pi \in S_4$  has cycle-lengths 1 or 3. If  $\pi$  contains a 3-cycle, then  $l = 3$ ,  $G/Z \cong \Gamma(2, 3, 3) \cong A_4 \cong \text{PSL}_2(3)$  and  $Z \leq G'$ , so we obtain a contradiction since  $|Z|$  divides  $|M(\text{PSL}_2(3))| = 2$  [6, V.25.7]. Thus  $\pi = 1$  so  $C$  is normal and we again have a contradiction.

If  $k = 4$  then  $|G : C| = 3$  and  $n = 4p + 6$  is coprime to 3, so  $\pi$  has order  $l = 1$  or 2 in  $S_3$ , giving contradictions as before. If  $k = 6$  or 12 then  $C$  is normal in  $G$ , again giving a contradiction. Thus the case  $\sigma = (2, 3, n)$  cannot arise for  $n \geq 79$ , and we have also shown that when  $p$  divides  $n - 6$  it cannot arise for  $n \geq 11$ .

*Case C:*  $\sigma = (2, 4, n)$  for  $n \geq 37$ . Here  $q = \mu(\Gamma)/4\pi = (n - 4)/8n$ , and  $|G| = (g - 1)/q = 8np/(n - 4)$ . If  $p$  does not divide  $n - 4$  then  $n - 4$  must divide  $8n$ , so  $n - 4$  divides  $8n - 8(n - 4) = 32$  and hence  $n \leq 36$ , against our hypothesis. Thus  $p$  divides  $n - 4$ , say  $n = kp + 4$ , so  $|G| = 8np/kp = 8n/k$ , where  $k$  divides 8 since the image  $c$  of  $\gamma_3$  generates a subgroup  $C$  of order  $n$ . As in Case B,  $c$  induces a permutation  $\pi \in S_{8/k}$  on the cosets of  $C$ , of order  $l = |C : Z|$  dividing  $n$ , with at least one fixed-point. Here  $Z$  is the core of  $C$ , but unlike in the previous case,  $Z$  is not necessarily central:  $G$  induces a group of automorphisms of  $Z$  of order dividing  $\text{hcf}(2, 4) = 2$ .

First let  $k = 1$ , so  $n = p + 4$  is odd and hence the cycle-lengths of  $\pi \in S_8$  are 1, 3, 5 or 7. If there is a 7-cycle, then  $G/Z$  is a doubly transitive Frobenius group of degree 8; the only such group is  $\text{AGL}_1(8)$ , and this, having no elements of order 4, is not an epimorphic image of  $\Gamma(2, 4, n)$  for any  $n$ , so there is no 7-cycle. If there is a 5-cycle then the remaining three points are fixed, so  $l = 5$ ; however, by trial and error one can see that no epimorphic image of  $\Gamma(2, 4, 5)$  can be a transitive group of degree 8, so there is no 5-cycle. By transitivity,  $\pi \neq 1$ , so  $l = 3$  and  $G/Z$  is an epimorphic image of  $\Gamma(2, 4, 3) \cong S_4$ ; since  $G/Z$  is transitive of degree 8 it must be isomorphic to  $S_4$ , so  $G$  is an extension of  $Z \cong C_{n/3}$  by  $S_4$ . Since 3 divides  $n$  we have  $p \equiv 2 \pmod{3}$ , as in conclusion (iv).

If  $k = 2$  then  $n = 2p + 4$ , and  $G$  acts transitively on the four cosets of  $C$ . Since  $\pi$  has a fixed-point, it cannot contain a 4-cycle. If  $\pi$  contains a 3-cycle, then  $l = 3$  and  $G/Z$  is an epimorphic image of  $\Gamma(2, 4, 3) \cong S_4$ , whereas there is no such group of order  $4l = 12$ ; hence  $\pi$  does not contain a 3-cycle. By transitivity,  $\pi \neq 1$ , so  $\pi$  is a 2-cycle, giving  $l = 2$  and  $G/Z \cong \Gamma(2, 4, 2) \cong D_4$ , so  $G$  is an extension of  $Z \cong C_{n/2}$  by  $D_4$ , as in conclusion (v).

If  $k = 4$  then  $C$  is a normal subgroup of index 2 in  $G$ , and  $|G| = 2n = 8p + 8 = 8g$ , as in conclusion (vi). If  $k = 8$  then  $G = C$  is cyclic, so  $n \leq 4$ , against our hypothesis.

*Case D:*  $\sigma = (2, 5, n)$  for  $n \geq 71$ . Here  $q = \mu(\Gamma)/4\pi = (3n - 10)/20n$ , and  $|G| = (g - 1)/q = 20np/(3n - 10)$ . If  $p$  does not divide  $3n - 10$  then  $3n - 10$  must divide  $20n$ , so  $3n - 10$  divides 200 and hence  $n \leq 70$ , against our hypothesis. Thus  $p$  divides  $3n - 10$ , say  $3n = kp + 10$ , so  $|G| = 20np/(3n - 10) = 20n/k$ , where  $k$  divides 20 since  $c$  generates a subgroup  $C$  of order  $n$ . As in Case B, the core  $Z$  of  $C$  is central in  $G$ , since the periods 2 and 5 are coprime. Now  $C$  has index  $20/k$  in  $G$ , so  $Z$  has index  $m$  dividing  $(20/k)!$ , and since  $Z$  is central, the transfer from  $G$  to  $Z$  induces the endomorphism  $z \mapsto z^m$  of  $Z$  [6, IV.2.1]. Since  $Z \cap G'$  is in its kernel, and is cyclic, it has order dividing  $m$ . Now  $|Z : Z \cap G'| = |ZG' : G'|$  divides  $|G : G'|$ , and this divides  $2 \cdot 5 = 10$ , so  $|Z|$  divides  $10m$ . Thus  $|G| = |G : Z| \cdot |Z|$  divides  $10m^2$ , so  $|G| \leq 10 \cdot (20!)^2$  giving  $p = g - 1 = |G|q < 3|G|/20 \leq 3 \cdot (20!)^2/2$ .

It follows that Theorem 1(a) holds for all  $p \geq c_\lambda > \max\{p_\lambda, p'_\lambda, s_\lambda + 1, 3 \cdot (20!)^2/2\}$ , where  $p_\lambda$ ,  $p'_\lambda$  and  $s_\lambda$  are as defined in Case A. Indeed, if  $\lambda > 20/3$  then only finitely many signatures  $\sigma = (2, 5, n)$  satisfy  $\mu(\Gamma) \leq 4\pi/\lambda$ ; we can enlarge

the finite set  $\Sigma_\lambda$  to include these, so Case D does not arise and we can take  $c_\lambda > \max\{p_\lambda, p'_\lambda, s_\lambda + 1\}$ . We will use this in Section 6.

### 5. Proof of Theorem 1(b) and (c)

If  $G$  and  $\mathcal{S}$  are as in Theorem 1 with  $p \geq c_\lambda$ , then by comparing the upper bounds  $8(g+3)$  and  $12(g-1)$  in Theorem 1(a) we see that  $|G| \leq 12(g-1)$  if  $g \geq 9$ . Applying this to  $\text{Aut}(\mathcal{S})$  itself, we have  $|\text{Aut}(\mathcal{S})| \leq 12(g-1)$ . Since  $|G|$  divides  $|\text{Aut}(\mathcal{S})|$  and  $|G| \geq \lambda(g-1) > 20(g-1)/3$ , we therefore have  $G = \text{Aut}(\mathcal{S})$  and hence  $\Gamma = N(K)$ . This proves Theorem 1(b), so we now consider Theorem 1(c).

In cases (i), (ii) and (iii), covered by Case A of the proof of Theorem 1(a), we showed that  $\Gamma = \Gamma(2, 6, 6)$ ,  $\Gamma(2, 5, 10)$  or  $\Gamma(2, 8, 8)$ , and  $G = \Gamma/K$  is a split extension of  $P = \Delta/K \cong C_p$  by an abelian group  $Q = \Gamma/\Delta \cong C_6 \times C_2$ ,  $C_{10}$  or  $C_8$ ; to determine  $G$  it is therefore sufficient to find the action of  $Q$  by conjugation on  $P$ . This is equivalent to the action of  $Q$  on a 1-dimensional quotient of  $H_1(\mathcal{T}, \mathbf{Z}_p)$ , where  $\mathcal{T} = \mathcal{H}/\Delta$  has genus 2, so we now consider this representation of  $Q$ .

It is easily seen that in each case,  $\Gamma$  has a unique normal surface subgroup  $\Delta$  of genus 2 with abelian quotient: in cases (i) and (ii) it is the commutator subgroup  $\Gamma'$ , and in case (iii) it is the normal closure of  $\gamma_1\gamma_2^4$ , which contains  $\Gamma'$  with index 2. It follows that  $\mathcal{T}$  must be the Riemann surface of genus 2 described in Section 3, given by  $w^2 = z^6 - 1$ ,  $z^5 - 1$  and  $z^5 - z$  respectively, with the action of  $Q$  specified there. The character of  $Q$  on  $H_1(\mathcal{T}, \mathbf{Z})$  is given by  $\chi(g) = 2 - \phi(g)$  for non-identity  $g \in Q$ , where  $g$  fixes  $\phi(g)$  points of  $\mathcal{T}$ . By counting fixed-points, and then reducing  $\chi(g) \bmod (p)$ , one can decompose the character of  $Q$  on  $H_1(\mathcal{T}, \mathbf{Z}_p)$  into irreducible constituents (see [10] for full details); in each case there are four distinct 1-dimensional constituents, which implies that the four 1-dimensional submodules of  $H_1(\mathcal{T}, \mathbf{Z}_p)$  found in the proof of Theorem 1(a) are non-isomorphic. In case (i), the automorphism  $(w, z) \mapsto (w, e^{\pi i/3}z)$  has eigenvalues  $u^{\pm 1}$  and  $u^{\pm 2}$ , where  $u$  is a primitive 6-th root of 1 in  $\mathbf{Z}_p$ , while  $(w, z) \mapsto (-w, z)$  has four eigenvalues equal to  $-1$ ; it follows that for each of the 1-dimensional quotients, one can find a decomposition  $C_6 \times C_2$  of  $Q$  so that generators of the two factors have eigenvalues  $u$  and 1. Thus the action of  $Q$  on  $P$  is as given in Example (i) of Section 3, so  $G$  is as described there, and hence the four 1-dimensional quotients correspond to the four surface subgroups  $K_j$  and surfaces  $\mathcal{S}_j$  also described there. The same argument applies in cases (ii) and (iii), a generator for  $Q \cong C_{10}$  or  $C_8$  having the four primitive 10-th or 8-th roots of 1 as eigenvalues.

In cases (iv) and (v), covered in Case C of the proof of Theorem 1(a), it was shown that  $\Gamma = \Gamma(2, 4, n)$  and  $G$  is an extension of  $C_{n/3}$  by  $S_4$ , or of  $C_{n/2}$  by  $D_4$ . It is shown in [9], as part of a classification of cyclic coverings of finite rotation groups, that in each case the surface group  $K$  is unique, and that  $G$  has the presentation given in Section 3. It follows that  $\mathcal{S}$  is unique, and is the branched covering of the sphere described in Section 3.

Finally, in case (vi) it was shown that  $\Gamma = \Gamma(2, 4, n)$  with  $n = 4g = 4(p+1)$ , and  $C = \langle c \rangle$  has index 2 in  $G$ . It follows that the canonical generators  $a, b, c$  of  $G$  satisfy

$$a^2 = b^4 = c^n = abc = 1, c^a = c^u \quad (5.1)$$

where  $u^2 \equiv 1 \pmod{n}$ . Now  $b^{-2} = (ca)^2 = c^{1+u}$ , so for  $b$  to have order 4 we require  $2(1+u) \equiv 0 \not\equiv 1+u \pmod{n}$ . Hence  $n$  is even, say  $n = 2m$ , and  $1+u \equiv m \pmod{n}$ , so we can take  $u = m-1$ . Since we require  $u^2 \equiv 1 \pmod{n}$ , we need  $m$  to be even. Eliminating  $b$  from (5.1) we have the relations

$$a^2 = c^n = 1, c^a = c^{m-1} \quad (5.2)$$

which define the semidihedral group  $SD_n$  of order  $2n$ . Since  $|G| = 2n$  also, these are defining relations for  $G$ , so  $G$  has a presentation

$$G = \langle a, b, c \mid a^2 = b^4 = c^n = abc = 1, c^a = c^{m-1} \rangle \quad (5.3)$$

where  $n = 2m$ . The uniqueness of this presentation shows that  $K$  and hence  $S$  are unique.

## 6. The case where $\lambda = 8$

The proof of Theorem 1 shows that computing a suitable value of  $c_\lambda$  is a matter of routine (and tedious) arithmetic: one can use (4.2) to determine the finite set  $\Sigma_\lambda$ , then find the values of  $p_\lambda$ ,  $p'_\lambda$  and  $s_\lambda$  by inspection, and take

$$c_\lambda > \max \left\{ p_\lambda, p'_\lambda, s_\lambda + 1, \frac{3}{2}(20!)^2 \right\}.$$

If required, one can use additional, more sophisticated arguments to provide a lower value of  $c_\lambda$  by strengthening the arguments in the proof of Theorem 1 so that they apply to smaller primes. (Here it is sufficient to restrict attention to Theorem 1(a), since the proofs of parts (b) and (c) follow as in Section 5.) To illustrate this, we will show that when  $\lambda = 8$  the proof of Theorem 1(a) gives a lower bound  $p > 85$ , and then we will use additional arguments to extend this to  $p \geq 17$ . (The bound cannot be reduced further: when  $p = 13$  we find a Hurwitz group  $\text{PSL}_2(13)$  of genus  $g = 14$ , a quotient of  $\Gamma(2, 3, 7)$  of order  $84(g-1)$ .)

Let  $\lambda = 8$ , so  $|G| \geq 8(g-1)$  and  $\mu(\Gamma) \leq \pi/2$ . By detailed analysis of (4.2) we obtain the following three types of signatures  $\sigma$  (see [2] for  $\mu(\Gamma) < \pi/2$ ):

- (I)  $(2, 5, n)$  for  $5 \leq n \leq 20$ ;  $(2, 6, n)$  for  $6 \leq n \leq 12$ ;  $(2, 7, n)$  for  $7 \leq n \leq 9$ ;  
 $(2, 8, 8)$ ;  $(3, 3, n)$  for  $4 \leq n \leq 12$ ;  $(3, 4, n)$  for  $4 \leq n \leq 6$ ;  $(2, 2, 2, n)$  for  
 $n = 3, 4$ ;
- (II)  $(2, 3, n)$  for  $n \geq 7$ ;
- (III)  $(2, 4, n)$  for  $n \geq 5$ .

Then  $\Sigma_8$  is the finite set consisting of all 41 signatures of type I, together with  $(2, 3, n)$  for  $7 \leq n \leq 78$ , and  $(2, 4, n)$  for  $5 \leq n \leq 36$ . The values of  $q$  for  $\sigma \in \Sigma_8$  are given in the Table of Signatures in the Appendix. By inspection, the largest prime dividing any  $r$  for  $\sigma \in \Sigma_8$  is 71, which occurs for  $\sigma = (2, 3, 77)$  with  $q = 71/924$ , so we need  $p > p_\lambda = 71$ . The Table shows that for those  $\sigma$  with  $r = 1$ , the maximum value of  $s$  is 84, arising from  $\sigma = (2, 3, 7)$  with  $q = 1/84$ , so taking  $s_\lambda = 84$  we require  $p > s_\lambda + 1 = 85$ . Among the signatures with  $r = 1$ , the largest prime dividing any of the elliptic periods  $m_i$  is 13, arising from  $\sigma = (2, 3, 78)$  with  $q = 1/13$ , so taking  $p'_\lambda = 13$  we require  $p > p'_\lambda = 13$ . It follows that when  $\lambda = 8$  the proof of Theorem 1(a) is valid for all  $p > 85$ .

We will now use special arguments to extend this proof to the remaining primes  $p \geq 17$ , namely  $p = 17, 19, 23, 29, 31, 37, 41, 43, 47, 53, 59, 61, 67, 71, 73, 79$  and 83. Recall from Section 4 that  $|G| = ps/r$  with  $r$  and  $s$  coprime, so  $r = 1$  or  $p$ .

(i) First let  $p = 83$  or  $79$ . The Table shows that there are no signatures  $\sigma \in \Sigma_8$  with  $r = 83$  or  $79$ , so  $r = 1$  and  $|G| = ps$ . The entries in the Table with  $r = 1$  all have  $s \leq 48$  or  $s = 84$ , so Sylow's Theorems imply that either  $G$  has a normal Sylow  $p$ -subgroup  $P$  of order  $p$ , or  $p = 83$  and  $G$  has  $s = 84$  Sylow  $p$ -subgroups. In the first case, the proof continues as in Section 4, while the second case is eliminated by the following result.

LEMMA 4. *Let  $p$  be a prime and let  $G$  be a group which does not have a normal Sylow  $p$ -subgroup. If  $|G| = p(p+1)$  then  $p = 2$  or  $p$  is a Mersenne prime, and if  $|G| = 2p(p+1)$  then  $p \leq 5$  or  $p$  is a Mersenne prime.*

*Proof.* By Sylow's Theorems, the number  $n_p$  of Sylow  $p$ -subgroups of  $G$  divides  $|G|$  and satisfies  $n_p \equiv 1 \pmod{p}$ , so  $n_p = 1$  or  $p+1$ . By our hypothesis  $n_p > 1$ , so  $n_p = p+1$ . By Sylow's Theorems,  $G$  acts on its  $p+1$  Sylow  $p$ -subgroups by conjugation as a transitive permutation group  $\tilde{G}$ . In this action, a Sylow  $p$ -subgroup  $P$  normalises itself, but normalises no other Sylow  $p$ -subgroup  $P^*$  (for otherwise  $PP^*$  would be a subgroup of  $G$  of order  $p^2$ , contradicting Lagrange's Theorem). A generator of  $P$  therefore fixes  $P$  and has a single cycle of length  $p$  on the remaining Sylow  $p$ -subgroups, so  $G$  acts as a doubly transitive group. If  $|G| = p(p+1)$ , the stabiliser of two points is trivial, so  $\tilde{G}$  is sharply 2-transitive, that is, a doubly transitive Frobenius group. Such groups all have prime-power degree [7, XII.9.1], so  $p = 2$  or  $2^e - 1$  for some  $e$ . If  $|G| = 2p(p+1)$  then either  $|\tilde{G}| = p(p+1)$ , and the preceding argument applies, or  $|\tilde{G}| = 2p(p+1)$  with a point-stabiliser acting on the remaining  $p$  points as  $D_p$  (the only transitive group of degree  $p$  and order  $2p$ ); in this case,  $\tilde{G}$  is a Zassenhaus group with two-point stabilisers of even order ( $= 2$ ), and Zassenhaus showed that such groups have two-point stabilisers of order at least  $(p-2)/2$  [7, XI.1.10], so  $p \leq 5$ .

(ii) Now suppose that  $p = 73, 71, 67, 61, 59, 53, 43$  or  $37$ . The case  $r = 1$  is dealt with as in (i): the Table shows that  $s = 84, 48, 40$  or  $s \leq 36$ , none with a factor  $kp+1 > 1$ , so  $G$  has a normal Sylow  $p$ -subgroup. If  $r = p$ , the Table shows that  $\sigma = (2, 3, n)$  with  $s/r = 12n/(n-6)$ , so  $p$  divides  $n-6$ . However, in the proof of Case B in Section 4, this possibility was eliminated for all  $p \geq 5$ .

(iii) If  $p = 47$  then we can deal with the case  $r = 1$  as we did in (i) for  $p = 84$ , since  $47$  is not a Mersenne prime. Hence we can assume that  $r = p$ , so the Table gives  $\sigma = (2, 3, 53)$  or  $(2, 5, 19)$ . The signature  $(2, 3, 53)$  is eliminated as in (ii), since  $p$  divides  $n-6$ . If  $\sigma = (2, 5, 19)$  then  $|G| = 2^2 \cdot 5 \cdot 19$ , so  $G$  has a normal Sylow 19-subgroup (by Lemma 4), with a solvable quotient by Burnside's  $p^a q^b$  Theorem [6, V.7.3], so  $G$  is solvable; however, the periods of  $\Gamma$  are mutually coprime, so  $G$  is perfect, giving a contradiction. Similar arguments apply to  $p = 41$ . If  $r = 1$  then  $G$  has a normal Sylow 41-subgroup, as required, since the only  $s$  in the Table divisible by some  $kp+1 > 1$  is  $s = 84$ , with  $\sigma = (2, 3, 7)$  and  $|G| = 84 \cdot 41$ , eliminated by Lemma 4. If  $r = p$  then either  $\sigma = (2, 3, 47)$ , or  $\sigma = (2, 5, 17)$  and  $|G| = 2^2 \cdot 5 \cdot 17$ , both eliminated as for  $p = 47$ .

(iv) Now let  $p = 31$ . No  $kp+1 > 1$  divides any  $s$  in the Table with  $r = 1$ , so we may assume that  $r = p$ . The Table then gives  $\sigma = (2, 3, 37), (2, 3, 68), (2, 4, 35)$  or  $(2, 7, 9)$ . The first two are eliminated as in (ii) since  $p$  divides  $n-6$ . If  $\sigma = (2, 7, 9)$  then  $|G| = 2^2 \cdot 3^2 \cdot 7$ , so  $G$  has a normal Sylow 7-subgroup and is therefore solvable, contradicting the fact that  $\Gamma$  is perfect. In the remaining case  $\sigma = (2, 4, 35)$ , with

$|G| = 2^3 \cdot 5 \cdot 7 = 280$ , note that  $|G^{\text{ab}}|$  divides  $|\Gamma^{\text{ab}}| = 2$ . Let  $n_2, n_5$  and  $n_7$  denote the numbers of Sylow 2-, 5- and 7-subgroups of  $G$ , so  $n_5 = 1$  or 56, and  $n_7 = 1$  or 8. If  $n_5 = 56$  and  $n_7 = 8$  then there are  $1 + 56 \cdot 4 + 8 \cdot 6 = 273$  elements of Sylow 5- or 7-subgroups, so  $n_2 = 1$ ; thus there is a normal Sylow 2-subgroup  $N$ , so  $G/N$ , having order  $5 \cdot 7$ , must be abelian, contradicting the fact that  $|G^{\text{ab}}|$  divides 2. Hence  $n_5 = 1$  or  $n_7 = 1$ . If  $n_7 = 1$  there is a normal Sylow 7-subgroup  $N$ , and  $G/N$  (of order  $2^3 \cdot 5$ ) has a normal Sylow 5-subgroup, so  $G$  has a normal subgroup  $M$  of order  $5 \cdot 7$ ; thus  $\Gamma$  maps onto a group  $G/M$  of order  $2^3$ , which is clearly false. Hence  $n_5 = 1$ , so  $G$  has a normal Sylow 5-subgroup  $N$ ; by the previous argument,  $G/N$  cannot have a normal Sylow 7-subgroup, so it has eight of them and hence has a normal Sylow 2-subgroup; thus  $G$  has a normal subgroup of index 7, so  $\Gamma$  maps onto  $C_7$ , which is false.

(v) If  $p = 29$  then we can deal with the case  $r = 1$  as we did in (i) for  $p = 84$ , since  $p$  is not a Mersenne prime. We therefore have  $r = p$ , so  $\sigma = (2, 5, 13)$  with  $|G| = 2^2 \cdot 5 \cdot 13$ , or  $(2, 3, 35)$  with  $|G| = 2^2 \cdot 3 \cdot 5 \cdot 7$ , or  $(2, 3, 64)$  with  $|G| = 2^7 \cdot 3$ , or  $(2, 4, 33)$  with  $|G| = 2^3 \cdot 3 \cdot 11$ . In the first case,  $G$  has a normal Sylow 13-subgroup and is solvable, contradicting the fact that  $\Gamma$  is perfect. The second and third cases are eliminated as in Case B of the proof of Theorem 1(a), since  $p$  divides  $n - 6$ . The last case is dealt with in Case C, where  $\sigma = (2, 4, n)$  and  $n = kp + 4$  with  $k = 1$ : we showed there that  $G$  is an extension of  $C_{n/3} = C_{11}$  by  $S_4$ , as in conclusion (iv) of Theorem 1(a).

(vi) Let  $p = 23$ . If  $r = 1$ , the only values of  $s$  with factors  $kp + 1 > 1$  are 24 and 48, both eliminated by Lemma 4, so  $n_p = 1$  as required. If  $r = p$  then the Table gives  $\sigma = (2, 3, 29)$ ,  $(2, 3, 52)$ ,  $(2, 3, 75)$ ,  $(2, 4, 27)$  or  $(2, 5, 11)$ . The first three are eliminated as in Case B, since  $p$  divides  $n - 6$ . The fourth case is dealt with in Case C, with  $n = p + 4$ , where we showed that  $G$  is an extension of  $C_9$  by  $S_4$ , as in conclusion (iv) of Theorem 1. In the final case,  $|G| = 2^2 \cdot 5 \cdot 11$ , so  $G$  has a normal Sylow 11-subgroup and is solvable, contradicting the fact that  $\Gamma$  is perfect.

(vii) Let  $p = 19$ . If  $r = 1$ , the only values of  $s$  with factors  $kp + 1 > 1$  are 20 and 40, both eliminated by Lemma 4, so  $n_p = 1$  as required. If  $r = p$  then the Table gives  $\sigma = (2, 3, 25)$ ,  $(2, 3, 44)$ ,  $(2, 3, 63)$ ,  $(2, 4, 23)$  or  $(2, 5, 16)$ . The first three are eliminated as in Case B, since  $p$  divides  $n - 6$ , and the fourth case is eliminated in Case C, with  $n = p + 4$ , since  $19 \not\equiv 2 \pmod{3}$ . In the final case,  $|G| = 160 = 2^5 \cdot 5$ , so  $G$  is solvable. Now  $\Gamma/\Gamma' \cong C_2$ , with  $\Gamma' \cong \Gamma(5, 5, 8)$ , and so  $\Gamma'/\Gamma'' \cong C_5$ , giving  $G/G' \cong C_2$  and  $G'/G'' \cong C_5$ , and hence  $|G''| = 2^4$ . By Maschke's Theorem, the  $G'/G''$ -module  $G''/\Phi(G'')$  is a sum of irreducible submodules (where  $\Phi$  denotes the Frattini subgroup). Since  $G''$  has no quotient of order 2, there can be no 1-dimensional summands, and  $C_5$  has no 2- or 3-dimensional irreducible modules over  $\mathbf{Z}_2$ , so  $G''/\Phi(G'')$  is 4-dimensional and has order  $2^4$ . Thus  $\Phi(G'') = 1$ , so  $G''$  is elementary abelian. This means that a Sylow 2-subgroup of  $G$  has exponent dividing 4, contradicting the fact that  $G$  contains an element of order 16.

(viii) Let  $p = 17$ . If  $r = 1$ , the only values of  $s$  with factors  $kp + 1 > 1$  are 18 and 36, both eliminated by Lemma 4, so  $n_p = 1$  as required. If  $r = p$  then the Table gives  $\sigma = (2, 3, 23)$ ,  $(2, 3, 40)$ ,  $(2, 3, 57)$ ,  $(2, 3, 74)$ ,  $(2, 4, 21)$  or  $(2, 5, 9)$ . The first four are eliminated as in Case B, since  $p$  divides  $n - 6$ , while  $(2, 4, 21)$  is dealt with in Case C, where  $n = p + 4$  and  $G$  is an extension of  $C_7$  by  $S_4$ , as in conclusion (iv) of Theorem 1. If  $\sigma = (2, 5, 9)$  then  $|G| = 180$ ; now  $\Gamma$  is perfect, whereas there is no

perfect group of order 180 (since  $|M(A_5)| = 2$ , the only extension of  $C_3$  by  $A_5$  is the direct product).

## 7. Proof of Theorem 2

We can now complete the proof of Theorem 2, which we began in Corollary 3 in Section 3.

(a) If  $p \equiv 1 \pmod{3}$  then Example (i) of Section 3 gives  $N(g) \geq 12(g-1)$  for all  $g = p+1$ , and the case  $\lambda = 8$  of Theorem 1, considered in Section 6, shows that we have equality if  $p \geq 17$ .

(b) If  $p \equiv 11 \pmod{15}$  then  $p \equiv 1 \pmod{5}$ , so Example (ii) gives  $N(g) \geq 10(g-1)$ ; since  $p \not\equiv 1 \pmod{3}$ , we again have equality for  $p \geq 17$ .

(c) If  $p \equiv 2, 8$  or  $14 \pmod{15}$  then  $p \equiv 2 \pmod{3}$ , so Example (iv) gives  $N(g) \geq 8(g+3)$ ; since  $p \not\equiv 1 \pmod{3}$  and  $p \not\equiv 1 \pmod{5}$ , we have equality for  $p \geq 17$ .

**COROLLARY 5.** *If  $g = p+1$  for some prime  $p$  then  $N(g) \geq 8(g+3)$ , and this bound is attained for all  $p \geq 17$  such that  $p \equiv 2, 8$  or  $14 \pmod{15}$ .*

It follows from Dirichlet's Theorem that this bound is attained infinitely many times.

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Appendix. Table of signatures  $\sigma \in \Sigma_8$ , with values of  $s/r$ 

$\sigma$	$s/r$	$\sigma$	$s/r$
(2, 5, 5)	20	(2, 6, 12)	8
(2, 5, 6)	15	(2, 7, 7)	28/3
(2, 5, 7)	140/11	(2, 7, 8)	112/13
(2, 5, 8)	80/7	(2, 7, 9)	252/31
(2, 5, 9)	180/17	(2, 8, 8)	8
(2, 5, 10)	10	(3, 3, 4)	24
(2, 5, 11)	220/23	(3, 3, 5)	15
(2, 5, 12)	120/13	(3, 3, 6)	12
(2, 5, 13)	260/29	(3, 3, 7)	21/2
(2, 5, 14)	35/4	(3, 3, 8)	48/5
(2, 5, 15)	60/7	(3, 3, 9)	9
(2, 5, 16)	160/19	(3, 3, 10)	60/7
(2, 5, 17)	340/41	(3, 3, 11)	33/4
(2, 5, 18)	90/11	(3, 3, 12)	8
(2, 5, 19)	380/47	(3, 4, 4)	12
(2, 5, 20)	8	(3, 4, 5)	120/13
(2, 6, 6)	12	(3, 4, 6)	8
(2, 6, 7)	21/2	(2, 2, 2, 3)	12
(2, 6, 8)	48/5	(2, 2, 2, 4)	8
(2, 6, 9)	9	(2, 3, $n$ ), $7 \leq n \leq 78$	$12n/(n-6)$
(2, 6, 10)	60/7	(2, 4, $n$ ), $5 \leq n \leq 36$	$8n/(n-4)$
(2, 6, 11)	33/4		

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